

TANTIA UNIVERSITY, SRI GANGANAGAR



REGULATIONS RELATING TO Ph.D. DEGREE (Effective during Jan. 2014-17 July 2016)

GUIDELINE

(REVISED AS PER UGC REGULATION 2009)

A. Eligibility of Research Scholars

- (1) A candidate for admission to the degree of Doctor of Philosophy (Ph.D.) in the Faculties of Arts, Craft & Social Science, Commerce and Management, Aurveda, Homeopathy, Engineering and Technology, Education, Medical, Para-medical & Allied Health Sciences, Science and Veterinary Sciences must have obtained the Master's degree (not the Diploma) with at least 55% marks at the postgraduate examination of the University in subject or any allied subject in which he/she wishes to pursue research. If the candidate secures less than 55% marks at the postgraduate examination but secures a second division at postgraduate as well as at degree level, he/she will be eligible for admission to the degree of Ph.D. However, in exceptional cases relaxation in minimum eligibility condition may be given by the President in consultation with the subject expert.

Provided that:

- (i) The Master's degree should have been obtained after taking the Bachelor's degree with full prescribed Courses for the degree.
 - (ii) Candidate who obtains the B.A. degree from other statutory Indian University after passing a public examination in a classical or modern Indian language prior to his/her M.A. degree will also be eligible for registration.
 - (iii) The eligibility condition for teachers having been recruited before 1986, may be relaxed at the discretion of the Board of Advanced Studies and Research. For the purpose of Ph.D. registration a teacher candidate will be defined as a person working in a permanent capacity as a teacher in a recognised University/College.
 - (iv) No candidate shall ordinarily be permitted to register for Ph.D. degree in a subject different from the one in which he/she has passed his/her qualifying examination. However, in order to facilitate interdisciplinary research a candidate may apply in allied subject and his/ her registration may be considered on approval of the President and in such cases co- supervisor may be appointed if supervisor so desires with necessary justification (Appendix IV). The co-supervisor also has to be a recognized supervisor of the University.
- (2) The Board of Advanced Studies and Research shall be constituted for the purpose of considering all matters, related to the registration of Ph.D. scholars. The implementation of recommendations of the Board of Advanced Studies and Research will be subject to the approval of the President.

- (3) To coordinate the research activities of the University, a Director Research will be nominated by the President from amongst the faculty members of the University, having at least 10 years of research supervisory experience.

B. Research Supervisors

- (4) The following persons will be eligible to act as research supervisors provided they possess a doctoral degree of a recognised University:

- (i) Professors and Associate Professors of the Teaching Departments of Tania university.
- (ii) All other permanent teachers of the University Teaching Departments having 5 years PG teaching/Post- doctoral research experience. In either case the teacher must have published atleast 2 research papers in recognised journals of national/international level and also participated in at least two conferences/seminars of the area of research work concerned.
- (iii) Retired teachers may be recognised as research supervisors subject to fulfilling other eligibility conditions, up to the age of 70 years.
- (iv) An outstanding and eminent scholar who may be working outside the jurisdiction of Tania University may be considered for recognition as research supervisor on approval of the President. To decide eminence of scholar, a committee will be constituted by the President consisting of Dean of Faculty, Convener of Board of Studies concerned and two subject experts of other universities.
- (v) a research supervisor, who has no previous experience of research supervision, will not be allowed to register with him/her more than two research students in the first year from the date of enrolling first scholar under his/her supervision.
- (vi) The maximum limit of number of research scholars at a time with a research supervisor shall be as given in Appendix-I.

- (5) (i) No person shall be permitted to supervise the research work of his/her close relations.
- (ii) A candidate for degree of Ph.D. shall be allowed to work only in those university department/ institutions which have PG classes or have an adequate research facilities.
- (iii) A teacher shall not be entitled to supervise research work, irrespective of his eligibility as a guide, if the institute/college in which he is posted does not have PG classes or an adequate research facilities in subject concerned.
- (6) In case the supervisor retires or leaves the University/College, the candidate shall be permitted to continue his/her work under his supervision by paying necessary fees to the university from which he/she had applied for registration.
- (7) In the event of death of the supervisor or in exceptional circumstances a change of supervisor shall be permitted by the President and the period of his/her research work shall be counted for the purpose of the award of the Ph.D. degree.

C. Entrance Test and Admission

- (1) Admission to Ph.D. will be made through a Combined Entrance Test for Ph.D. To be conducted by the university every year. The candidate may fill up option for M.Phil. or Ph.D. or both in the application form.
- (2) The test will be followed by an interview to be conducted by the two Subject Experts to be appointed by the President in consultation with the Director Research, to adjudge the research interest of the candidate. The Test will be of maximum 300 marks and interview of 30 marks. The number of candidates called for interview will be five times the number of available seats in a subject. Merit will be drawn from the combined marks obtained by the candidates in the Entrance Test and Interview (Max. Marks 330). Admission will be given against available vacant seats in a subject. Reservation policy of the State Government shall be followed while giving admission.

- (3) The students who have qualified UGC/CSIR (JRF) Exam./SLET/SET/GATE/ or are Teachers Fellowship holder have also to appear for combined entrance test for Ph.D. to get admission to Ph.D.
- (4) The students who have passed M.Phil. degree shall also have to appear for combined entrance test for Ph.D. to get admission to Ph.D.
- (5) The number of available vacant seats in each subject at the time of entrance test notification will be determined and announced in the notification for entrance test. A seat will be deemed to have fallen vacant under a supervisor on submission of the thesis by a research scholar under him.
- (6) The provisional admission for the Ph.D. degree will be given as sub-clause (2), (3) and (4) of the clause C, subject to availability of seats in concerned subject.
- (7) A candidate admitted for Ph.D. degree shall pay a Registration fee and Coursework examination fee to the university as provided under Appendix- XII, or as amended from time to time by the university. The candidate will submit his/her joining of research programme to the university through proper channel.

D. Course work

- (1) A candidate provisionally admitted for Ph.D. degree shall have to undertake a course work of 6 months duration. The syllabus of this course work will be framed by the respective Boards of Studies in the light of Para 13 of UGC Regulation 2009.
- (2) On completion of course work, the university will conduct an examination of descriptive nature of 100 marks. A candidate securing minimum pass marks (45%) will only be considered eligible for final registration.
- (3) At the time of provisional admission, a candidate has to pay course work fee as prescribed by the University time to time.
- (4) Unsuccessful candidate(s) in course work Examination may be given one more chance, whenever it held next time and has to submit examination form along with prescribed fee.

E. Research work

- (1) The University will allot the supervisor to the qualified candidates by calling them for personal interview.
- (2) The candidate will submit a research proposal to the university through his/her supervisor in the prescribed format which will be evaluated by an expert to be nominated by the President.

- (3) On receiving positive report from subject expert so appointed under subclause (2) above, the final registration will be made and the candidate will pursue his/her research work at the headquarters of the supervisor and seek admission at the University by paying necessary fees. A joining report will have to be furnished to the University through concerned authority within 30 days from the issue of letter of approval of synopsis by the university; failing which the candidature of the Ph.D. will automatically be treated as cancelled. The university will issue the final registration letter confirming the date of commencement of work. The date of commencement of work will be either the date of submission of synopsis or the date of joining which ever is later, as will be communicated by the candidate through supervisor along with the joining report.
- (4) The candidate shall pursue his/her research work at the headquarters of the supervisor. The supervisor shall certify that the candidate has put in at least required days of attendance every year. This condition of attendance, however, would not apply to a teacher candidate except in cases where he/she is a teacher fellow/ a full-time paid researcher. The 200 days attendance per year will also include any period spent away from headquarter for field work and library consultation, as may be certified by the supervisor.
- (5) No candidate registered for Ph.D. degree shall be allowed to seek admission to any other courses of this University or elsewhere.
- (6) The minimum time period for submission of the thesis shall not be earlier than two years and not later than five years from the date of final registration. After completion of five years ordinarily the period shall not be extended except in exceptional circumstances where an extension of maximum of one year may be permitted by the President on the recommendation of the supervisor . On expiry of the extended period, the registration of the candidate shall automatically stand cancelled.
- (7) The topic of research shall ordinarily not be modified after registration. The supervisor may, however, recommend a change in the topic if it is subsequently found that a modification is necessary in the interest of research. This matter shall be reported to the BASR which shall forward its recommendation to the President for approval. Such change, however, would be done only once. The candidate shall be permitted to submit the thesis only six months after the approval of the change of the topic by the President subject to minimum submission period.

- (8) Six months before the submission of thesis, the supervisor shall submit four copies of the summary of work along with a panel of at least eight experts in the subject concerned, having not more than two examiners from Rajasthan (Appendix-V). The President may, however, ask for more names of experts from the supervisor and in exceptional cases, may add names to the panel. The President would then select and appoint two examiners from the panel. At least one examiner should be from outside the state of Rajasthan. The candidate shall be required submit the thesis within six months of the submission of panel of examiners, however, only in extra ordinary cases the President may extend the time.

F. Evaluation of Thesis and Award of degree

- (1) On completion of research work the scholar shall produce a draft thesis and make a presentation in the Department/College as the case may and may be open to all faculty members and research students, for getting feedback and comments, which may be suitably incorporated into the draft thesis under the advice of the supervisor. A certificate signed by the Supervisor and Head of the Department or Principal shall have to be submitted along with the final thesis stating that the scholar had made an open presentation and had also incorporated the relevant suggestions made during presentation.
- (2) Ph.D. scholar shall publish two research papers in a referred Journal before the submission of the thesis and produce evidence for the same in the form of acceptance letter or the reprint to be attached to the thesis or have tag attached any workshop/ conference/interview of the concerned subject.
- (3) After the thesis is complete, the candidate shall submit four printed or typewritten copies in a bound-cover of the colour specified in Appendix-VI along with certificate by the supervisor in the proforma provided in appendix-VIII and no-dues certificate by the concerned Head of the University Department/College. The scholar shall also submit a soft copy in the form of CD/DVD of the thesis.
- (4) The language of the thesis shall be either Hindi or English except that for thesis submitted in the area of languages such as Sanskrit, Urdu, etc.
- (5) The candidate shall not incorporate the results of his/her research work done at the Master's of M.Phil. level.
- (6) The thesis must be a piece of research work characterised by the discovery of facts or by fresh approach towards the interpretation of facts or theories on an applied work of great significance. In either case it shall evince the capacity of the candidate for critical examination and independent judgement. It should be satisfactory so far as literary presentation is concerned and must be suitable for publication.
- (7) The evaluation of the thesis shall take place in the following manner.

- (i) It will be examined by the supervisor(s) and two external examiners appointed in the manner specified above. All the examiners shall be requested to submit a report, normally within a period of two months of the receipt of the thesis in the format provided in Appendix-IX and shall be paid remuneration at rates specified in Appendix-XI.
- (ii) If all the examiners unanimously recommend acceptance of the thesis and award of the degree of Ph.D., the President shall appoint one of the two external examiners to conduct the viva-voce examination. The viva-voce shall be conducted jointly by the supervisor(s) and external examiner so appointed. It would be notified that teachers and research scholars may attend the viva-voce examination at their own expenses. However, questions, clarifications, etc. shall only be asked by the examiners. The examiners shall submit their viva-voce report jointly to the University in the format provided in Appendix-X. The report shall then be placed before the President for approval, and if the recommendation is found positive, provisional degree certificate may be issued to the candidate and the degree shall be conferred after approval of the Academic Council and Board of Management.
- (iii) In case one or more external examiners recommend a modification in the thesis, it will be communicated to the candidate by the University and the candidate will be required to modify thesis as suggested by examiners and resubmit the thesis not before a period of six months. The process of evaluation outlined above will then be followed.
- (iv) In case one of the external examiner recommends the rejection of the thesis, the thesis shall be sent to a third external examiner and the opinion of the third external examiner shall be final.
- (v) In case one external examiner recommends a modification while another rejects the thesis, the candidate shall be informed accordingly and asked to resubmit the thesis after a period of one year. The revised and/or modified thesis shall be sent to the same two examiners. If, even then, any one of the external examiners, recommends further modification/rejection, the thesis will be rejected and the registration shall automatically stand cancelled.
- (vi) If both the external examiners recommend the rejection of the thesis, it will be rejected and the registration shall automatically stand cancelled.
- (vii) The period of six months/one year spent in resubmission of the thesis after modification shall be counted from the date on which the candidate is informed about the same and this

period shall not be counted to compute the maximum period for submission of thesis i.e. five years from the date of registration. The fee will be payable by the candidate as specified in schedule for resubmission of the thesis.

- (viii) If viva-voce report is unsatisfactory the viva-voce examination shall be normally reheld after period of three months from the date of first viva-voce examination on payment of the requisite fee. If the candidate fails to get a satisfactory report in the second viva-voce examination, the thesis shall be rejected and his/her registration shall automatically stand cancelled.

- (ix) Following the successful completion of the evaluation process, the University shall submit the soft copy of the thesis to the UGC within 30 days for hosting the same in INFLIBNET.

- (x) The degree of Ph.D. thus awarded shall be accompanied by a certificate to the effect that the degree has been awarded in accordance with the provisions of the Regulation of the UGC 2009.

Appendices :

- I Limit of research scholar under a supervisor
- II Format for outline of proposed Research Work
- III Consent certificate by Supervisor
- IV Format for Interdisciplinary Research
- V Format for panel of examiners
- VI Colour Scheme for Thesis Cover
- VII Model for Cover & Title page of Ph.D. Thesis
- VIII Certificates to accompany the thesis
- IX Examiner's Evaluation Report on Thesis
- X Report of Ph.D. viva-voce Examination
- XI Rates of remuneration payable to the examiners
- XII Fee Schedule

APPENDIX - I

LIMIT FOR RESEARCH SUPERVISION

Maximum number of Ph.D scholars permitted at any given time under a supervisor will be as under:

Designation of the Supervisor	Ph.D.	
Professor /Principal of a PG College	10	
Associate Professor/Lecturer in Selection Scale	8	
Assistant Professor/ Lecturer	6	

APPENDIX-II

FORMAT FOR OUTLINE OF PROPOSED RESEARCH WORK

(TO BE SUPPLIED IN 4 COPIES)

1. Name of Scholar:
2. Title of the Research Work (in English & Hindi):
3. Location:
(Institution/Department where the work is to be done)
4. Introduction:
5. Importance of proposed Research Work:
6. Review of work already done on the subject:
(Present and past status)
7. Hypothesis/Objective:
8. Methodology:
9. Chapter-wise details of Proposed Research:
10. Bibliography (Updated):

Signature & Name of the Candidate with date

Outline of the synopsis approved.

Signature & Name of Supervisor with date

APPENDIX - III

CERTIFICATE BY SUPERVISOR

Certified that :

1. I am willing to guide the research work of Shri/Km./Smt. on the above subject if he/she is registered for Ph.D.
2. To the best of my knowledge the subject selected has not been studied and is not being studied so far in any university.
3. The subject is of sufficient scope to keep the candidate engaged for two years.
4. The subject will lead to a valuable contribution. I have seen and approved the outline and bibliography, etc. submitted by the candidate.
5. Necessary facilities are available in the Department for the above research work (Certificate from Principal/Head Univ. Dept. with regards to facilities available is enclosed.)
6. I have(give numbers) research candidate registered under my supervision and the serial number of this candidate whose application being forwarded for registration is (give the serial number)
7. The candidate is not a close relation (the term close relation is defined in the examination ordinance of the University.)

Signature & Name of the Supervisor with date

Certified that the available facilities will be extended to Shri/Km./Smt. for research work if he/she is registered for Ph.D.

Signature of Principal/

Director of the Institution with date

Signature of the Head of the

Department with date

APPENDIX - IV

FORMAT FOR INTER-DISCIPLINARY RESEARCH PROPOSAL (WITHIN THE SAME FACULTY)

(This format should be submitted along with the application)

1. Name :
2. Academic Qualification:
3. Date of Birth :
4. Occupation (if any)
And Designation :
5. Organisation details,
If employed :
1. The subject in which the candidate has qualified for Master's degree.
2. The Proposed discipline in which the candidate intends to work for Ph.D.
3. The Proposed Department (with address) where the candidate intends to work for Ph.D.
4. The theme of proposed research work (not more than 500 words)
5. Whether the proposed Ph.D. theme is partly, directly or indirectly related to the branch of knowledge with which the candidate has qualified for his/her Master's degree. If so, briefly describe (in not more than 50 words) the input from the two disciplines to the proposed area of research for Ph.D.
6. Do you have any publication bearing interdisciplinary research, pertaining to your chosen theme for Ph.D. research. If yes, furnish the details.
7. Comments of the supervisor and co-supervisor under whom you propose to do interdisciplinary research.

Signature of Applicant

Signature of Supervisor
(Name & designation)

Signature of the Co-supervisor
(Name & designation)

Date : Signature of the Head of the
University Department/Principal

APPENDIX-V

FORMAT FOR PANEL OF EXAMINERS

Name of the Candidate Subject

Name of Examiner

Address & Phone No.

with designation

Office

Residential

1

2

3

4

5

6

7

8

Note : The examiners suggested should be actively engaged in the area of research work concerned and also as far as possible, should not be below the rank of university Professor/Scientist in the grade of professor.

Place

Date

Signature & Name of Supervisor with Designation

APPENDIX-VI

COLOUR SCHEME FOR THESIS COVER IN DIFFERENT FACULTIES

- | | | |
|----|-----------------------------------|--------------|
| 1. | Faculty of Arts/Social Science | -Red |
| 2. | Faculty of Commerce/Management | -Yellow |
| 3. | Faculty of Education | -Crimson |
| 4. | Faculty of Nursing | -Purple |
| 5. | Faculty of Engineering/Technology | -Light Green |
| 6. | Faculty of Medical & Paramedical | -White |
| 7. | Faculty of Science | -Light Blue |
| 8. | Faculty of Law | - Maroon |

APPENDIX - VII
Format of Cover and Title Page

(Title of Research Work)

A THESIS

Submitted for the Award of Ph.D. degree of

Tantia University Sri Ganganagar

in the Faculty of

By

..... (Name of Candidate)

Under Supervision of

..... (Name & Designation of Supervisor)

University / College

Tantia University Sri Ganganagar



Year of Submission

APPENDIX-VIII
CERTIFICATE TO ACCOMPANY THE THESIS

It is certified that the

(i) Thesis entitled

Submitted by

Is an original piece of research work carried out by the candidate under my supervision. (ii) Literary presentation is satisfactory and the thesis is in a form suitable for publication.

(iii) Work evinces the capacity of the candidate for critical examination and independent judgement. (iv)

Candidate has put in at least 200 days of attendance every year.

Signature of Supervisor with date

APPENDIX-IX

TANTIA UNIVERSITY SRI GANGANAGAR

(To be filled in along with the detailed report)

Proforma for examiner's report on a Ph.D. Thesis

Name of the candidate Title of thesis

.....

.....

1. Do you recommend (tick one as appropriate)

(i) The award of degree (ii) The revision of
thesis (iii) The rejection of thesis

.....

2. Is the Literary presentation satisfactory/ If
not so, kindly spell out the details.

.....

3. Are there typographic errors/minor corrections? If
so, please point out in the report as well as in
..... the body of the thesis.

.....

4. Are there errors/lacunae in
bibliography/references? If so, kindly spell out the
details.

5. Do you consider the thesis substantially
suitable for publication.....

Note: Enclose your detailed report on the thesis on a separate sheet. Enclose a list of questions, if any, to be asked at the viva-voce examination. In case you recommend rejection, kindly indicate clearly the grounds for rejection, or if you recommend revision mention clearly in your report the lines on which revision is to be made, also indicate the same in the body of the thesis.

(Signature, Name & Address of Examiner)

Date

APPENDIX-X

REPORT OF Ph.D. VIVA-VOCE

1. Name of the candidate
2. Subject.....
3. Title of thesis
4. Date of viva-voce
5. Name of External Examiner.....
6. Name of Supervisor
7. Name of Co-Supervisor (if any)

EXAMINER'S REPORT

.....
Signature & Name
Internal Examiner/s
(Supervisor/s)

.....
Signature & Name
External Examiner

APPENDIX-XI

RATES OF REMUNERATION PAYABLE TO EXAMINERS

- | | | |
|----|---|-------------|
| 1. | Examination of thesis and preparation
of evaluation report per examiner* | Rs. 1500.00 |
| 2. | Conduct of Viva-Voce per examiner | Rs. 1000.00 |
| 3. | Evaluations of Synopsis | Rs. 500.00 |

